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PTO/SB/01 (10-00)  
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## DECLARATION -- Utility or Design Patent Application

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OR ☒

Correspondence address below

Name **MATHEW R. P. PERRONE, JR.**

Address **210 SOUTH MAIN STREET**

Address

City **ALGONQUIN**

State **ILL**

ZIP **60102**

Country **USA**

Telephone **847/658-5140**

Fax **847/658-1088**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle (if any))

**William L.**

Family Name

or Surname

**Steinmetz**

Inventor's  
Signature

*William L. Steinmetz*

Date

*4/12/01*

Residence: City **Danville**

State **ILL**

Country **USA**

Citizenship **USA**

Mailing Address **1439 Golf Terrace**

Mailing Address

City **Danville**

State **ILL**

ZIP **61832**

Country **USA**

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle (if any))

**Gordon J.**

Family Name

or Surname

**Waligorski**

Inventor's  
Signature

*Gordon J. Waligorski*

Date

*4/12/01*

Residence: City **Delphi**

State **IN**

Country **USA**

Citizenship **USA**

Mailing Address **303 West Front Street**

Mailing Address

City **Delphi**

State **IN**

ZIP **46923**


Country **USA**

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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Approved for use through 10/31/2002. OMB 0621-0032  
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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet Page <u>1</u> of <u>1</u>
--------------------	--

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Robert J.		Kennedy	
Inventor's Signature 		Date <u>4/12/02</u>	
Residence: City <u>Lafayette</u>	State <u>IN</u>	Country <u>USA</u>	Citizenship <u>USA</u>
Mailing Address <u>700 Rosa Lane</u>			
Mailing Address			
City <u>Lafayette</u>	State <u>IN</u>	ZIP <u>47905</u>	Country <u>USA</u>
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

For: William L. Steinmetz, Gordon J. Waligorski and Robert J. Kennedy  
Title: LOTTERY TICKET SCRAPER  
Serial Number: New Application  
Filing Date: New Application  
Attorney Docket No.: Y2K.0110  
Box New Patent Application Assistant Commissioner for Patents:  
Please place your date stamp hereon and mail to acknowledge receipt of:

1 Express Mail Certificate  
18 pages of specification including 11 Pages of description and 1 Abstract  
22 Claims on 6 pages  
1 Fee Transmittal for FY 2001  
1 Declaration for Utility or Design Patent Application -PJ(SB/01  
4 sheets of drawings (10 Figures)  
1 Independent inventor declaration;  
1 Check for \$373.00 for filing fee  
2 Deposit account authorizations  
1 Assignment w/Recordation Sheet & \$40.00 check  
1 Post card receipt

jc962 U.S. PTO  
09/841205  
04/24/01

rec'd  
5/3/2001  
JLS

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PTO/SB/81 (02-01)

Approved for use through 10/31/2002, OMB 0651-0035

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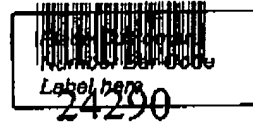
## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/841205
Filing Date	April 24, 2001
First Named Inventor	Steinmetz
Title	Lottery Ticket Scanner
Group Art Unit	
Examiner Name	
Attorney Docket Number	Y2K.0110

I hereby appoint:

☒ Practitioners at Customer Number 24290 →

☐ Practitioner(s) named below:



Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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☒ The above-mentioned Customer Number.

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Individual Name

Address

Address

City

State

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Country

Telephone

Fax

I am the:

☒ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name

William L. Steinmetz

Signature

*William L. Steinmetz*

Date

4/10/26/01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of                      forms are submitted.

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PTO/SB/98 (08-00)

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: William L. Steinmetz  
Application No./Patent No.: 09/841,205 Filed/Issue Date: April 24, 2001  
Entitled: lottery Ticket Scraper  
William L. Steinmetz, an individual  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011768, Frame 0193, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

X 10/26/01  
Date

William L. Steinmetz  
Typed or printed name  
X [Signature]  
Signature

X INVENTOR  
Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**Official**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE PRIMARY EXAMINER

**FAX RECEIVED**

APR 11 2002

Inventor: William L. Steinmetz, Gordon J. Waligorski and Robert J. Kennedy )  
Title: LOTTERY TICKET SCRAPER ) Group Art  
Serial Number: New Application ) Unit: unknown  
Filing Date: New Application ) Examiner:  
Attorney Docket No. : Y2K.0110 ) unknown

Honorable Commissioner of Patents and Trademarks  
Washington, D. C. 20231

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9 (f) AND 1.27 (b)) - INDEPENDENT INVENTOR**

As a below named inventors, We hereby declare that we qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled LOTTERY TICKET SCRAPER described in the above-identified application filed herewith.

We have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which we have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed as William L. Steinmetz, one of the named inventors herein..

We acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small

entity is no longer appropriate (37 CFR 1.28(b)).

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

William L. Steinmetz  
INVENTOR -- William L. Steinmetz

Dated: 4/12/01

Robert J. Kennedy  
INVENTOR -- Robert J. Kennedy

Dated: 4/12/01

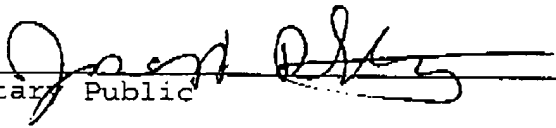
Gordon J. Waligorski  
INVENTOR -- Gordon J. Waligorski

Dated: 4/12/01

STATE OF ILLINOIS )  
 ) SS  
COUNTY OF VERMILION )

Before me personally appeared the said Robert J. Kennedy who acknowledged the execution of the foregoing assignment to be his free act and deed this 12 day of APRIL, 2001

Subscribed and sworn to before me this  
11 day of APRIL, 2001

  
Notary Public



Prepared by:  
Mathew R. P. Perrone, Jr.  
Attorney For William L. Steinmetz  
210 South Main Street  
Algonquin, Illinois 60102  
Telephone Number (847)658-5140  
Registration Number 22,951